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| **Faculty Information** | **Name** | |  | | | | | |
| **E-mail** | |  | | | | | |
| **Home University** | |  | | | | | |
| **Department** | |  | | | | | |
| **Homepage** | |  | | | | | |
| **Course Information** | **Class No.** | |  | **Course Code** |  | **Credits** | | 3 |
| **Course Name** | | ***International Financial Markets and Institutions*** | | | | | |
| **Lecture Schedule** | | **Total Contact Hours** | | 45 hours | | | |
| **Lecture Hour** | | 15:40-18:40, Mon-Fri | | | |
| **Course Description** | | This course provides a comprehensive overview of global financial markets and institutions, analyzing their characteristics, functions, institutional structures, and operational principles. Key topics include an introduction to financial markets (e.g., bonds, stocks, foreign exchange), management of financial institutions (e.g., banks, central banks), monetary policy frameworks, and financial regulatory systems. The course also examines structural dynamics of the banking industry, such as competition, risk management, and the role of central banks in global financial stability. | | | | | |
| **Course Objective** | | Upon successful completion, students should be able to:  • Develop a systematic understanding of the types, functions, and institutional structures of financial markets and institutions;  • Analyze the operational mechanisms and risk management practices of the banking industry and financial institutions;  • Identify key characteristics of financial markets (e.g., bonds, stocks, foreign exchange) and evaluate their dynamic interactions;  • Explain the objectives and implementation of financial regulations and assess their impacts on real-world economies;  • Describe recent developments and emerging trends in global financial markets and institutions;  • Enhance critical thinking and problem-solving skills through team-based case analyses and discussions. | | | | | |
| **Prerequisite** | | Students are expected to have completed *Principles of Finance* or possess equivalent knowledge of foundational finance concepts. | | | | | |
| **Materials/Textbooks** | | **Required Textbooks:**  • Saunders, A., & Cornett, M. M. (2015). *Financial markets and institutions* (Sixth edition). McGraw-Hill Education. **ISBN:** **978-0077861667**  • Mishkin, F. S. (2013). *The economics of money, banking, and financial markets* (Eleventh edition). Pearson. **ISBN:978-0133836790**  **Supplementary Readings:**  Additional materials will be provided by the instructor. | | | | | |
| **Daily**  **Lecture Plan** | **Week 1** | **Day** | **Topic** | | | | **Assignment** | |
| Day 1 | Overview of Financial Markets, Institutions, and the Global Financial SystemGlobalization of Financial Markets | | | | Group Discussion: Significance of Financial Markets Study | |
| Day 2 | Interest Rate DynamicsDebt, Equity, and Foreign Exchange MarketsBanking and Non-Banking Financial Institutions | | | | In-class Risk Management Exercise | |
| Day 3 | Functions and Instruments of Financial MarketsRegulatory Frameworks and Internationalization | | | | Concept Explanation Assignment | |
| Day 4 | Bank Balance SheetsCore Principles of Bank ManagementOff-Balance-Sheet Activities | | | |  | |
| Day 5 | Historical Evolution of Banking SystemsShadow Banking and Bank ConsolidationSeparation of Financial Services Sectors | | | | Group Discussion: Cashless Society Trends | |
| **Week 2** | Day 1 | Federal Reserve System Origins and StructureEuropean Central Bank Model and Independence | | | |  | |
| Day 2 | Price Stability Goals and Policy InstrumentsInflation Targeting and Policy Tactics | | | |  | |
| Day 3 | Industry Overview and Financial Statement AnalysisRegulatory Challenges in Commercial Banking | | | | Group Discussion: Lessons from Global Financial Crisis | |
| Day 4 | Liquidity, Credit, and Interest Rate Risk Management | | | | Quiz: Risk Management Techniques | |
| Day 5 | Field Trip to a Financial Institution | | | | Field Trip Report (500–1,000 words) | |
| **Week 3** | Day 1 | Market Structure RegulationAsset Holdings RestrictionsSupervision and Chartering Processes | | | | Group Discussion: Current Issues in Bank Regulation | |
| Day 2 | Dynamics of Crises in Emerging EconomiesCase Study: Argentine Financial Crisis (2001–2002) | | | | Case Study Analysis Submission | |
| Day 3 | Financial Innovation and Its ImpactsRole of Credit Rating AgenciesDark Sides of Innovation | | | |  | |
| Day 4 | Comprehensive Review of Key Concepts and Case Studies | | | | Final Exam Preparation | |
| Day 5 | Cumulative Assessment of Course Content | | | | Closed-Book Examination (3 hours) | |
|  | **Note: Field trip arrangements are subject to change; students will be notified of adjustments in advance.** | | | | | | | |
| **Grading Policy** | **Assessment Component** | | Attendance | | | | 10% | |
| Mid-Semester Test | | | | 20% | |
| Teamwork Assignment | | | | 30% | |
| Final Exam | | | | 40% | |
| **Total** | | | | **100%** | |
| **Assessment**  **Details** | | • **Mid-Semester Test:**  2-hour closed-book examination covering foundational concepts.  • **Teamwork Assignment:**  Group report (minimum 5,000 words) analyzing a financial institution’s risk management strategy, including statistical graphs and critical analysis.  **• Final Exam:**  3-hour comprehensive closed-book exam assessing knowledge of global financial markets, institutions, and regulatory frameworks. | | | | | |
| **Academic Integrity** | **Academic integrity is strictly enforced, and any form of dishonesty will result in penalties.** | | **Prohibited behaviors include, but are not limited to：**   1. **Plagiarism:**   Submitting unoriginal work without proper citations, including copied text, ideas, or data.   1. **Collusion:**   Presenting collaborative work as individual effort without explicit permission.   1. **Cheating:**   Falsifying data, using unauthorized materials, or misrepresenting contributions to group work.   1. **Examination Misconduct:**   Unauthorized communication, device use, or impersonation during exams.   1. **Other Violations:**   Altering records, bribery, or fabricating references. | | | | | |
| **All violations will be addressed in accordance with university policies.** | | | | | | | |